

Form 1449\*

Atty. Docket No.: 684.001US2

Serial No. Unknown

INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

(Use several sheets if necessary)

Applicant: Steven W. Lundberg

Filing Date: Herewith

Group: Unknown

 12/14/01  
 10/022153  
 973 US PTO

## U.S. PATENT DOCUMENTS

**Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
<u>WAK</u>	5,465,206	11/07/1995	Hilt, J.J., et al.	364	406	11/01/93
<u>WAK</u>	5,649,117	07/15/1997	Landry, G.W.	395	240	06/03/94
<u>WAK</u>	5,794,221	08/11/1998	Egendorf, A.	705	40	07/07/95
<u>WAK</u>	5,864,830	01/26/1999	Armetta, D., et al.	705	41	02/13/97
<u>WAK</u>	5,950,174	09/07/1999	Brendzel, H.T.	705	34	04/25/97
<u>WAK</u>	6,041,315	03/21/2000	Pollin, R.E.	705	45	06/19/97

## FOREIGN PATENT DOCUMENTS

**Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
<u>WAK</u>	0527639	02/17/1993	European	G07F	19/00	
<u>WAK</u>	99/13422	03/18/1999	PCT	G06F	17/60	

## OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

**Examiner Initial	
<u>WAK</u>	Bellone, R., "A Dozen of the Hottest Verticals", <u>Accounting Technology</u> , 12, obtained from <a href="http://proquest.umi.com/">http://proquest.umi.com/</a> , 14 p., (Apr., 1996)
<u>WAK</u>	DePree, C.M., et al., "What Are Reasonable Fees and Expenses for Legal Services", <u>The CPA Journal</u> , obtained from <a href="http://proquest.umi.com/">http://proquest.umi.com/</a> , 4 p., (Nov., 1994)
<u>WAK</u>	Evans, C.D., "The Best Legal Software for Paralegals", <u>Legal Assistant Today</u> , 13, obtained from <a href="http://proquest.umi.com/">http://proquest.umi.com/</a> , 9 p., (May/June, 1996)
<u>WAK</u>	Heid, J., "Time-Billing Software", <u>Macworld</u> , 11, Obtained from <a href="http://proquest.umi.com/">http://proquest.umi.com/</a> , 4 p., (July, 1994)

Examiner

Date Considered

\*Substitute Disclosure Statement Form (PTO-1449)

\*\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

 200  
 11/27/06



Substitute for form 1449A/PTO  
**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
(Use as many sheets as necessary)

Complete if Known

Application Number	10/022,153
Filing Date	December 14, 2001
First Named Inventor	Lundberg, Steven
Group Art Unit	3626
Examiner Name	Kalinowski, Alexander

Sheet 1 of 1

Attorney Docket No: 684.001US2

**US PATENT DOCUMENTS**

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date if Appropriate
W	US-5,699,528	12/16/1997	Hogan, Edward	705	40	10/31/1995
	US-5,873,072	02/16/1999	Kight, Peter, et al.	705	40	01/13/1995
	US-5,920,847	07/06/1999	Kolling, Ray	705	40	10/07/1996
	US-6,032,133	02/29/2000	Hilt, J. J., et al.	705	40	11/03/1995
	US-6,044,362	03/28/2000	Neely, R. A.	705	34	09/08/1997
	US-6,070,150	05/30/2000	Remington, Darren, et al.	705	34	10/18/1996
	US-6,078,907	06/20/2000	Lamm, D.	705	40	02/18/1998
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	US-6,292,789	09/18/2001	Schutzer, D.	705	40	08/21/1998
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T <sup>2</sup>
W	WO-96/10235	04/04/1996	Brown, Gordon T.	G06F	157/00	

**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	
W	W	"SURCHARGES FOR FOREIGN CURRENCY FLUCTUATIONS", 2 pgs.	

EXAMINER

DATE CONSIDERED

1127106

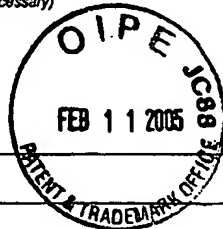
211/05-2006

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Complete if Known

Application Number	10/022,153
Filing Date	December 14, 2001
First Named Inventor	Lundberg, Steven
Group Art Unit	3626
Examiner Name	Kalinowski, Alexander

Sheet 1 of 1

Attorney Docket No: 684.001US2

**US PATENT DOCUMENTS**

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate
WPK	US-2004.0225607-A1	11/11/2004	Lundberg, S.	07/19/2004
	US-2004/0236613-A1	11/25/2004	Lundberg, S.	07/14/2004
	US-2004/0267558-A1	12/30/2004	Lundberg, S.	07/19/2004
	US-5,875,431	02/23/1999	Heckman, F., et al.	03/15/1996
LYA	US-5,991,742	11/23/1999	Tran, B. Q.	05/20/1996

**FOREIGN PATENT DOCUMENTS**

Examiner Initials *	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	T <sup>2</sup>
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**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
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EXAMINER

DATE CONSIDERED

1127106

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Substitute Disclosure Statement Form (PTO-1448)

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 806. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional) : Applicant is to place a check mark here if English language Translation is attached

S/N 10/022,153

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Steven Lundberg

Examiner: Alexander Kalinowski

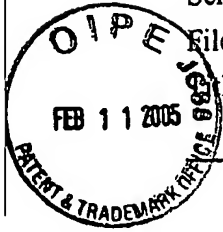
Serial No.: 10/022,153

Group Art Unit: 3626

Filed: December 14, 2001

Docket: 684.001US2

Title: METHOD AND APPARATUS FOR PAYMENT OF OUT-OF-POCKET  
COSTS IN A LAW FIRM (AS AMENDED)



COMMUNICATION CONCERNING RELATED APPLICATION(S)

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Applicant would like to bring to the Examiner's attention the following related application(s) in the above-identified patent application:

<u>Serial/Patent No.</u>	<u>Filing Date</u>	<u>Attorney Docket</u>	<u>Title</u>
09/240456	January 29, 1999	684.002US1	IMPROVED COMPUTERIZED PATENT AND TRADEMARK FEE PAYMENT METHOD AND SYSTEM
09/378865	August 23, 1999	684.004US1	COMPUTERIZED PATENT AND TRADEMARK FEE PAYMENT METHOD AND SYSTEM (AS AMENDED)
09/418969	October 14, 1999	684.006US1	IMPROVED COMPUTERIZED PATENT AND TRADEMARK FEE PAYMENT METHOD AND SYSTEM
09/480263	January 11, 2000	684.007US1	IMPROVED COMPUTERIZED PATENT AND TRADEMARK FEE PAYMENT METHOD AND SYSTEM
10/348784	January 22, 2003	684.006US2	IMPROVED COMPUTERIZED PATENT AND TRADEMARK FEE PAYMENT METHOD AND SYSTEM
10/640415	August 12, 2003	684.007US2	IMPROVED COMPUTERIZED PATENT AND TRADEMARK FEE PAYMENT METHOD AND SYSTEM

1/27/06

COMMUNICATION CONCERNING RELATED APPLICATIONS

Serial Number: 10/022,153

Filing Date: December 14, 2001

Title: METHOD AND APPARATUS FOR PAYMENT OF OUT-OF-POCKET COSTS IN A LAW FIRM (AS AMENDED)

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*WJR*

Herewith

684.002US2

IMPROVED COMPUTERIZED PATENT  
AND TRADEMARK FEE PAYMENT  
METHOD AND SYSTEM

*WJR*

10/710483

July 14,  
2004

684.001US3

PROCESSING LAW FIRM OUT-OF-  
POCKET COSTS USING A SEPARATE  
ACCOUNT

10/710534

July 19,  
2004

684.001US4

SYSTEM AND METHOD FOR UP  
FRONT BILLING OF INTEREST  
COSTS TO LAW FIRM CLIENTS

10/710556

July 20,  
2004

684.001US5

SYSTEM AND METHOD FOR  
SEPARATE CHARGES TO A LAW  
FIRM FOR EACH OUT-OF-POCKET  
COST

10/710533

July 19,  
2004

684.001US6

SYSTEM AND METHOD FOR  
MULTIPLE CHARGE OPTIONS FOR  
BILLING OUT-OF-POCKET COSTS

July 19,  
2004

684.001US7

SYSTEM AND METHOD FOR A LAW  
FIRM TO INCUR SEPARATE  
CHARGES IN RELATION TO  
HANDLING OUT-OF-POCKET COSTS

10/710554

July 20,  
2004

684.001US9

UP FRONT BILLING OF INTEREST  
COSTS TO LAW FIRM CLIENTS WITH  
ADJUSTMENT BASED ON PAYMENT  
TIMING

*WJR*

10/710558

July 20,  
2004

684.001U10

LAW FIRM ACCOUNTING SYSTEM  
AND METHOD FOR PROCESSING  
SEPARATE CHARGES FOR OUT-OF-  
POCKET COSTS

*WJR*

1127 106

COMMUNICATION CONCERNING RELATED APPLICATIONS

Serial Number: 10/022,153

Filing Date: December 14, 2001

Title: METHOD AND APPARATUS FOR PAYMENT OF OUT-OF-POCKET COSTS IN A LAW FIRM (AS AMENDED)

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Dkt: 684.001US2

Respectfully submitted,

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Date

2/8/2005

By

  
Steven W. Lundberg

Reg. No. 30,568

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 8 day of February, 2005.

Name

KACIA LEE

Signature

Kacia Lee